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45713 U.S. PTO

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10511 U.S. PTO
09/30/99

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P7090

Total Pages 2

First Named Inventor or Application Identifier Thomas L. Stachura

Express Mail Label No. EL414968807US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 45)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 14)
4. Oath or Declaration (Total Pages 4)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) **(Note Box 5 below)**
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))
9. a. 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
10. English Translation Document (if applicable)
11. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. a. Small Entity Statement(s)
 b. Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP)
 of prior application No: _____

18. Correspondence Address

Customer Number or Bar Code Label _____
 or _____
 (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Aloysius T.C. AuYeung, Registration No. 35,432
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026
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Express Mail Label: EL414968807US

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	

Other fee (specify) _____

Other fee (specify) _____

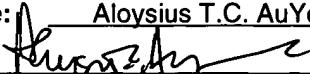
SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

TOTAL AMOUNT OF PAYMENT (\$)

\$ 854.00

SUBMITTED BY:

Typed or Printed Name:	Aloysius T.C. AuYeung		
Signature		Date	9/30/99
Reg. Number	35,432	Deposit Account User ID	(complete if applicable)

METHOD AND APPARATUS FOR PERFORMING NETWORK-BASED CONTROL
FUNCTIONS ON AN ALERT-ENABLED MANAGED CLIENT

Inventors: Thomas L. Stachura et al.
Our Reference: 42390.P7090

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

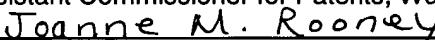


Aloysis T.C. AuYeung
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Date of Deposit: September 30, 1999

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(Typed or printed name of person mailing paper or fee)


Joanne M. Rooney 9-30-99

(Signature of person mailing paper or fee) (Date signed)

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: September 30, 1999

Client: INTEL CORPORATION (Stachura et al.)

Title: METHOD AND APPARATUS FOR PERFORMING NETWORK-BASED CONTROL
FUNCTIONS ON AN ALERT-ENABLED MANAGED CLIENT

bstz File No. 42390.P7090 Atty/Secty Initials: ATA/JKK/bla

Date Mailed: September 30, 1999 Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.) Express Mail No: EL414968807US Check No: 10902
 Appeal Brief (____ pgs.) (in triplicate) Month(s) Extension of Time Amt: \$854.00
 Application - Utility (45 pgs. with cover and abstract) Motion/Discuse Statement & PTO 149 (____ pgs.) Check No: _____
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal _____
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal _____
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time _____
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard _____
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.)
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.)
 Assignment and Cover Sheet (signed) Reply Brief (____ pgs.)
 Certificate of Mailing (1 page x 2) Response to Notice of Missing Parts _____
 Declaration & POA (____ pgs.) (signed) Small Entity Declaration for Indep. Inventor/Small Business _____
 Disclose Docs & Org & Copy of Inveno Signed Later (____ pgs.) Transmittal Letter, in duplicate _____
 Drawings (14 of sheets includes 16 figures) Fee Transmittal, in duplicate _____
 Other: _____